



PTO/SB/21 (08-03)
Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/580,848
Filing Date	May 30, 2000
First Named Inventor	Philyaw et al.
Art Unit	2756
Examiner Name	Steve S. Paik
Attorney Docket Number	PHLY-25,087

ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | Post card; references (27) non-US documents |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	HOWISON & ARNOTT, L.L.P. Gregory M. Howison, Reg. No. 30,646
Signature	
Date	8/9/04

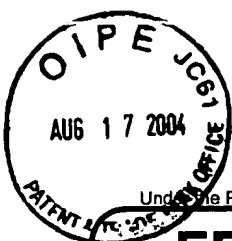
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Gregory M. Howison		
Signature		Date	8/9/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 655.00

Complete if Known

Application Number	09/580,848
Filing Date	May 30, 2000
First Named Inventor	Philyaw et al.
Examiner Name	Steve S. Paik
Art Unit	2756
Attorney Docket No.	PHLY-25,087

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 20-0780/PHYL-25,087

Deposit Account Name Howison & Arnott, L.L.P.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid
Total Claims		-20** =		X		
Independent Claims		-3** =		X		
Multiple Dependent						

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	475.00
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	180.00
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 655.00

SUBMITTED BY

Name (Print/Type)	Gregory M. Howison	Registration No. (Attorney/Agent)	30,646	Telephone	972-680-6050
Signature		Date	8/19/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/22 (06-03)

Approved for use through 7/31/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional) PHLY-25,087

In re Application of Philyaw et al.

Application Number 09/580,848

Filed May 30, 2000

For Optical Reader and Use

Art Unit 2756

Examiner Steve S. Paik

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- ☐ One month (37 CFR 1.17(a)(1)) \$ _____
- ☐ Two months (37 CFR 1.17(a)(2)) \$ _____
- ☒ Three months (37 CFR 1.17(a)(3)) \$ 950.00
- ☐ Four months (37 CFR 1.17(a)(4)) \$ _____
- ☐ Five months (37 CFR 1.17(a)(5)) \$ _____

☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ 475.00.

☒ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 20-0780/PHLY-25,087.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).

☒ attorney or agent of record. Registration Number 30,646

☐ attorney or agent under 37 CFR 1.34(a).
Registration number if acting under 37 CFR 1.34(a) _____

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

8/19/04
Date

972-680-6050
Telephone Number

Signature

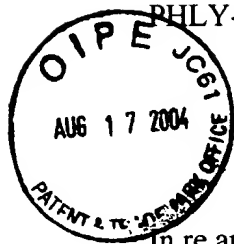
Gregory M. Howison
Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jeffrey Jovan Philyaw and David Kent Mathews

Serial No.: 09/580,848

Filed: May 30, 2000

Group: 2756

Examiner: Steve S. Paik

For: OPTICAL READER AND USE

MAIL STOP DD

Assistant Commissioner for
Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 on

8/19/04
(Date of Deposit)

(Name of Person Mailing Document)

(Signature)

8/19/04
(Date of Signature)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Form PTO SB/08 listing information for consideration by the Office in connection with its examination of the above-captioned patent application. This information disclosure statement supplements information disclosure statements previously submitted in connection with this application on October 19, 2000. Copies of all the non-patent documents are enclosed as required by the Office Action dated February 9, 2004.

This information disclosure statement is being filed after the period specified in paragraph (b) of §1.97, but before the mailing date of either a final action under §1.113, or a notice of allowance under §1.311. Therefore, pursuant to §1.97(c)(2), this information disclosure statement is accompanied by the fee set forth in §1.17(p).

INFORMATION DISCLOSURE STATEMENT

Serial No. 09/580,848

Page 1 of 2

Applicants request that this information disclosure statement be considered and that a copy of the Form PTO SB/08 be returned to the undersigned indicating the consideration of each document listed.

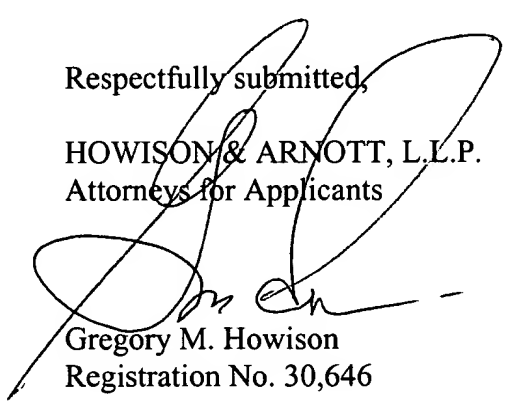
REMARKS

Applicants submit that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relevant pertinence. The listing on the attached Form PTO SB/08 is not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that any of the items listed are properly citable against the above-identified application as prior art. Applicants respectfully submit that their invention is patentable over the enclosed documents listed on Form PTO SB/08.

Please charge any necessary fees or deficiencies in fees necessary for the filing of this paper or credit any overpayment to Deposit Account No. 20-0780/PHLY-25,087 of HOWISON & ARNOTT, L.L.P.

Respectfully submitted,

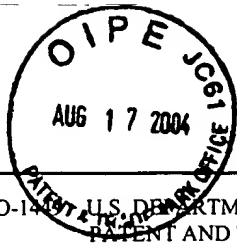
HOWISON & ARNOTT, L.L.P.
Attorneys for Applicants



Gregory M. Howison
Registration No. 30,646

GMH/yoc

P.O. Box 741715
Dallas, Texas 75374-1715
Tel. (972) 479-0462
Fax. (972) 479-0464
August 9, 2004

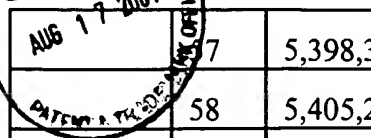


FORM PTO-1477 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. PHLY-25,087	SERIAL NO. 09/580,848
	APPLICANT Jeffry Jovan Philyaw et al.	
	FILING DATE May 30, 2000	GROUP 2756

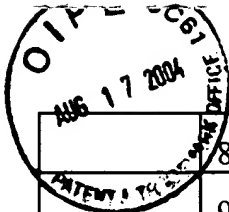
U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	3,668,312	06/06/72	Yamamoto et al.	348	17	
	2	4,002,886	01/11/77	Sundelin	235	61.7R	
	3	4,042,792	08/16/77	Pakenham et al.	179	90	
	4	4,365,148	12/21/82	Whitney	235	383	
	5	4,621,259	11/04/86	Schepers et al.	345	180	
	6	4,654,482	03/31/87	DeAngelis	379	95	
	7	4,780,599	10/25/88	Baus	235	383	
	8	4,785,296	11/15/88	Tabata et al.	340	731	
	9	4,816,904	03/28/89	McKenna et al.	348	13	
	10	4,817,136	03/28/89	Rhoads	379	375	
	11	4,833,308	05/23/89	Humble	235	383	
	12	4,841,132	06/20/89	Kajitani et al.	235	462.46	
	13	4,845,634	07/04/89	Vitek et al.	364	468	
	14	4,894,789	01/16/90	Yee	348	552	
	15	4,899,370	02/06/90	Kameo et al.	379	104	
	16	4,901,073	02/13/90	Kibrick	341	13	
	17	4,905,094	02/27/90	Pocock et al.	386	106	
	18	4,907,264	03/06/90	Seiler et al.	379	216	
	19	4,916,293	04/10/90	Cartlidge et al.	235	375	
	20	4,937,853	06/26/90	Brule et al.	379	91	
	21	4,947,028	08/07/90	Gorog	235	380	
	22	4,959,530	09/25/90	O'Connor	235	383	
	23	4,975,948	12/04/90	Andresen et al.	379	355	
	24	4,984,155	01/08/91	Geier et al.	364	401	

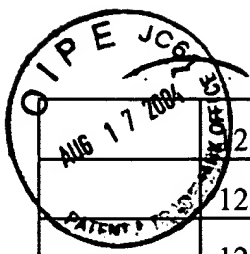
	25	5,038,023	08/06/91	Saliga	235	385	
	26	5,054,096	10/01/91	Beizer	382	41	
	27	5,088,045	02/11/92	Shimanaka et al.	364	468	
	28	5,111,391	05/05/92	Fields et al.	364	401	
	29	5,115,326	05/19/92	Burgess et al.	358	440	
	30	5,128,752	07/07/92	Von Kohorn	358	84	
	31	5,144,654	09/01/92	Kelley et al.	379	356	
	32	5,161,037	11/03/92	Saito	358	468	
	33	5,161,214	11/03/92	Addink et al.	395	145	
	34	5,182,705	01/26/93	Barr et al.	364	401	
	35	5,189,630	02/23/93	Barstow et al.	364	514	
	36	5,191,525	03/02/93	LeBrun et al.	364	419	
	37	5,198,644	03/30/93	Pfeiffer et al.	235	383	
	38	5,235,654	08/10/93	Anderson et al.	382	61	
	39	5,241,402	08/31/93	Aboujaoude et al.	358	406	
	40	5,243,531	09/07/93	DiPippo et al.	364	468	
	41	5,247,347	09/21/93	Litteral et al.	348	7	
	42	5,262,860	11/16/93	Fitzpatrick et al.	348	461	
	43	5,285,278	02/08/94	Holman	348	10	
	44	5,287,181	02/15/94	Holman	348	473	
	45	5,288,976	02/22/94	Citron et al.	235	375	
	46	5,296,688	03/22/94	Hamilton et al.	235	375	
	47	5,304,786	04/19/94	Pavlidis et al.	235	462	
	48	5,305,195	04/19/94	Murphy	705	1	
	49	5,319,454	06/07/94	Schutte	348	5.5	
	50	5,324,922	06/28/94	Roberts	235	375	
	51	5,331,547	07/19/94	Laszlo	364	413.01	
	52	5,340,966	08/23/94	Morimoto	235	376	
	53	5,357,276	10/18/94	Banker et al.	348	7	
	54	5,362,948	11/08/94	Morimoto	235	376	
	55	5,382,779	01/17/95	Gupta	235	383	
	56	5,386,298	01/31/95	Bronnenberg et al.	358	403	



57	5,398,336	03/14/95	Tantry et al.	395	600	
58	5,405,232	04/11/95	Lloyd et al.	414	280	
59	5,418,713	05/23/95	Allen	364	403	
60	5,420,403	05/30/95	Allum et al.	235	375	
61	5,420,943	05/30/95	Mak	382	313	
62	5,424,524	06/13/95	Ruppert et al.	235	462	
63	5,438,355	08/01/95	Palmer	348	1	
64	5,446,490	08/29/95	Blahut et al.	348	7	
65	5,446,919	08/29/95	Wilkins	455	6.2	
66	5,491,508	02/13/96	Friedell et al.	348	16	
67	5,493,107	02/20/96	Gupta et al.	235	383	
68	5,519,878	05/21/96	Dolin, Jr.	395	800	
69	5,530,852	06/25/96	Meske, Jr. et al.	396	600	
70	5,570,295	10/29/96	Isenberg et al.	379	90.01	
71	5,572,643	11/05/96	Judson	395	793	
72	5,592,551	01/07/97	Lett et al.	380	20	
73	5,594,226	01/14/97	Steger	235	379	
74	5,602,377	02/11/97	Beller et al.	235	462	
75	5,604,542	02/18/97	Dedrick	348	552	
76	5,640,193	06/17/97	Wellner	348	7	
77	5,649,186	07/15/97	Ferguson	395	610	
78	5,664,110	09/02/97	Green et al.	705	26	
79	5,671,282	09/23/97	Wolff et al.	380	25	
80	5,675,721	10/07/97	Freedman et al.	395	129	
81	5,682,540	10/28/97	Klotz, Jr. et al.	395	766	
82	5,694,163	12/02/97	Harrison	348	13	
83	5,708,780	01/13/98	Levergood et al.	709	229	
84	5,710,887	01/20/98	Chelliah et al.	395	226	
85	5,715,314	02/03/98	Payne et al.	705	78	
86	5,724,424	03/03/98	Gifford	705	79	
87	5,745,681	04/28/98	Levine et al.	395	200.3	
88	5,754,906	05/19/98	Yoshida	396	448	



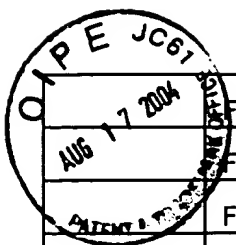
89	5,757,917	05/26/98	Rose et al.	380	25	
90	5,761,606	06/02/98	Wolzien	455	6.20	
91	5,764,906	06/09/98	Edelstein et al.	395	200.49	
92	5,765,176	06/09/98	Bloomberg	707	514	
93	5,768,528	06/16/98	Stumm	709	231	
94	5,774,664	06/30/98	Hidary et al.	395	200	
95	5,774,870	06/30/98	Storey	705	14	
96	5,778,367	07/07/98	Wesinger, Jr. et al.	707	10	
97	5,790,793	08/04/98	Higley	709	218	
98	5,791,991	08/11/98	Small	463	41	
99	5,794,210	08/11/98	Goldhaber et al.	705	14	
100	5,796,952	08/18/98	Davis et al.	305	200.54	
101	5,804,803	09/08/98	Cragun et al.	235	375	
102	5,815,776	09/29/98	Nukada	399	174	
103	5,832,223	11/03/98	Hara et al.	395	200.47	
104	5,833,468	11/10/98	Guy et al.	434	350	
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	F1	WO 95/10813	10/14/94	PCT	G06F	15/403	NO
	F2	WO 96/07146	9/1/95	PCT	G06F	17/00	NO
	F3	WO 97/37319	2/20/97	PCT	G06K	7/10	NO
	F4	WO 98/03923	1/29/98	PCT	G06F	15/163	NO
	F5	WO 98/06055	2/12/98	PCT	G06F	163/00	NO
	F6	WO 98/09243	8/22/97	PCT	G06F	19/00	NO



F7	WO 98/19259	5/7/98	PCT	G06F	17/60	NO
F8	WO 98/40823	9/17/98	PCT	G06F	13/00	NO
F9	WO 99/63457	6/3/99	PCT	G06F	17/30	NO
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D4	"Document on Computer" USPS Technical Support Center, Norman, OK
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